


Please type a plus sign (+) inside this box → 

| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. AP35003-PCT-USA-A-A | |
|--|--|--|--|
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | First Inventor Lloyd G. Mitchell et al. | |
| | | Title TRANS-SPLICING * see attached | |
| Express Mail Label No. EV343638456US | | | |
| APPLICATION ELEMENTS | | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | |
| See MPEP chapter 600 concerning utility patent application contents. | | <div style="writing-mode: vertical-rl; transform: rotate(180deg);">03970 U.S. PTO 10/650617 09/09/03</div> | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> | | | |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | | | |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 56] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none">- Descriptive title of the invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description | | | |
| <input checked="" type="checkbox"/> Claim(s) [Total Sheets 11] | | | |
| <input checked="" type="checkbox"/> Abstract of the Disclosure [Total Sheets 1] | | | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 31] | | | |
| 5. Oath or Declaration [Total Pages 3] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Unexecuted (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for continuation/divisional with Box 18 completed)</small>i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> | | | |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | | | |
| 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | | |
| 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Form (CRF)b. Specification Sequence Listing on:<ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paperc. <input type="checkbox"/> Statements verifying identity of above copies | | | |
| ACCOMPANYING APPLICATION PARTS | | <div style="writing-mode: vertical-rl; transform: rotate(180deg);">03970 U.S. PTO 10/650617 09/09/03</div> | |
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | | | |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> | | | |
| 11. <input type="checkbox"/> English Translation Document (if applicable) | | | |
| 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations | | | |
| 13. <input type="checkbox"/> Preliminary Amendment | | | |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> | | | |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> | | | |
| 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | | | |
| 17. <input type="checkbox"/> Other: _____ | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP)</div><div>Prior application information: Examiner _____ of prior application No.: 10/374,784</div></div> <div style="display: flex; justify-content: space-between;"><div>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</div><div>Group Art Unit: 1642</div></div> | | | |
| 19. CORRESPONDENCE ADDRESS | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label 21003 <small>(Insert Customer No. or Attach bar code label here)</small> or <input type="checkbox"/> Correspondence address below | | | |
| Name _____ | | | |
| Address _____ | | | |
| City _____ State _____ Zip Code _____ | | | |
| Country _____ Telephone _____ Fax _____ | | | |
| Name (Print/Type) Carmella L. Stephens Registration No. (Attorney/Agent) 41,328 | | | |
| Signature <i>Carmella L. Stephens</i> Date 9/9/03 | | | |

BAKER BOTTS LLP

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$1,070

Complete if Known

Application Number
Filing Date Herewith 9/9/03
First Named Inventor Lloyd G. Mitchell et al.
Examiner Name To be assigned
Art Unit To be assigned
Attorney Docket No. AP35003-PCT-USA-A-A

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) due by reason of this submission

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------|
| 1001 750 | 2001 375 | Utility filing fee | 375 |
| 1002 330 | 2002 165 | Design filing fee | |
| 1003 520 | 2003 260 | Plant filing fee | |
| 1004 750 | 2004 375 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$375 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|------------|
| 35 | - 20 = 15 | X 9 | = 135 |
| Independent Claims | 13 | - 3 = 10 | X 42 = 420 |
| Multiple Dependent | | 140 | = 140 |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-------------------------------|-------------------------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 84 | 2201 42 | Independent claims in excess of 3 |
| 1203 280 | 2203 140 | Multiple dependent claim, if not paid |
| 1204 84 | 2204 42 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$695

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|---------------|---------------|--|----------|
| 1051 130 | 2051 65 | | | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | | | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | | | Non-English specification | |
| 1812 2,520 | 1812 2,520 | | | For filing a request for ex parte reexamination | |
| 1804 920* | 1804 920* | | | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | | | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | | | Extension for reply within first month | |
| 1252 410 | 2252 205 | | | Extension for reply within second month | |
| 1253 930 | 2253 465 | | | Extension for reply within third month | |
| 1254 1,450 | 2254 725 | | | Extension for reply within fourth month | |
| 1255 1,970 | 2255 985 | | | Extension for reply within fifth month | |
| 1401 320 | 2401 160 | | | Notice of Appeal | |
| 1402 320 | 2402 160 | | | Filing a brief in support of an appeal | |
| 1403 280 | 2403 140 | | | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | | | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | | | Petition to revive - unavoidable | |
| 1453 1,300 | 2453 650 | | | Petition to revive - unintentional | |
| 1501 1,300 | 2501 650 | | | Utility issue fee (or reissue) | |
| 1502 470 | 2502 235 | | | Design issue fee | |
| 1503 630 | 2503 315 | | | Plant issue fee | |
| 1460 130 | 1460 130 | | | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | | | Processing fee under 37 CFR 1.17(q) | |
| 1806 180 | 1806 180 | | | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | | | Recording each patent assignment per property (times number of properties) | |
| 1809 750 | 2809 375 | | | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 750 | 2810 375 | | | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 750 | 2801 375 | | | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | | | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0

SUBMITTED BY

Name (Print/Type) Carmella L. Stephens

Registration No. (Attorney/Agent)

41,328

Telephone (212) 408-2539

Signature

Carmella L. Stephens

Date 9/9/03

Addendum Sheet 1

*Question 4 Addendum

4.a. Drawings are ☐ formal ☒ informal

* Question 5 Addendum

5.c. ☒ An unsigned oath or declaration is included.

* Question 8 Addendum

8.d. ☐ A sequence submission will follow.

* Question 9 Addendum

9.a. ☐ Assignment documents will follow.9.b. ☐ Assignment documents have been filed in parent application No.

* Question 11 Addendum

11.a. ☐ English translation will follow.

* Question 12 Addendum

12.a. ☐ Copies of IDS citations will follow.

* Question 15 Addendum

15.a. ☐ Certified copies of priority documents will follow.15.b. ☐ Certified copies of priority documents have been filed in parent application No.

Use the space below for additional information

| |
|--|
| |
|--|

Title (continued):

TRANS-SPLICING MEDIATED PHOTODYNAMIC THERAPY

Addendum Sheet 2

18a. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/374,784
 Prior application information: Examiner: _____ Group Art Unit: 1642

18b. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____
 Prior application information: Examiner: _____ Group Art Unit: _____

18c. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____
 Prior application information: Examiner: _____ Group Art Unit: _____

☒ Amend the specification by inserting before the first line the sentence(s)

U.S. Application Serial No.

☒ "This application is a ☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of: 10/374,784, filed 2/25/03

☐ "This application is based upon:

prior application No.: _____, incorporated by reference herein,

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon: _____, incorporated by reference herein,
 prior application No.

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon: _____, incorporated by reference herein,
 prior application No.:

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon: _____, incorporated by reference herein,
 prior application No.:

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon: _____, incorporated by reference herein,
 prior application No.

☐ which is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of:

☐ which is based upon: _____, incorporated by reference herein,
 prior application No.

Priority under 35.U.S.C. §119 is claimed based upon the following applications.

Foreign Applications:

| | | |
|----------------|------------------|--------------------|
| Country: _____ | Serial No: _____ | Filing Date: _____ |
| Country: _____ | Serial No: _____ | Filing Date: _____ |
| Country: _____ | Serial No: _____ | Filing Date: _____ |

Provisional Applications:

| | |
|------------------------------|--------------------------------|
| Serial No: <u>60/359,948</u> | Filing Date: <u>02/25/2002</u> |
| Serial No: _____ | Filing Date: _____ |
| Serial No: _____ | Filing Date: _____ |

CERTIFICATION UNDER 37 C.F.R. 1.8(a) OR 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail Certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

37 C.F.R. 1.8(a)

☐ with sufficient postage as first class mail.

37 C.F.R. 1.10*

☒ as "Express Mail Post Office to Address"
Mailing Label No. EV343638456US (mandatory)

Leroy Chick
Signature

Date: September 9, 2003

Leroy Chick

(type or print name of person certifying)

***WARNING:** Each paper of fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing 37 C.F.R. 1.10(b).
"Since the filing of correspondence under § 1.10 without the Express Mail label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition. "Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.